Attorney Docket No. 04110/00202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

Jan 10-14

U.S. application of:

Kenneth C. GRIFFIN

For:

METHOD AND APPARATUS FOR MAINTAINING A

UNIFORM NET ASSET VALUE PER SHARE OR UNIT

U.S. Serial No.:

To Be Assigned

Filed:

Concurrently

Group Art Unit:

To Be Assigned

Examiner:

BOX PATENT APPLICATION

for Patents Washington, D.C. 20231

Assistant Director

To Be Assigned

EXPRESS MAIL MAILING LABEL NO.: EL 794568154 US DATE OF DEPOSIT: JANUARY 11, 2002

DATE OF DEPOSITY HAT this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. § 1 10 on the dated indicated above and is addressed to BOX PATENT APPLICATION, Assistant Director for Patents, Washington, DC 20231.

Date of Signature

Derrick T. Gordon Name of Person Mailing Paper or Fee

January 11, 2002

Dear Sir:

TRANSMITTAL OF PrintEFS PATENT APPLICATION

BIBLIOGRAPHIC DATA

Submitted herewith is bibliographic data (1 page) for the aboveidentified application, in the PrintEFS Version 1.0.1 program format.

> Registration No. 28,454 Attorney for Applicant

DBN/rb SIDLEY AUSTIN BROWN & WOOD LLP 717 North Harwood Suite 3400 Dallas, Texas 75201-6507 (214) 981-3309 (direct) (214) 981-3300 (main) January 11, 2002

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APPLICATION INFORMATION

Title Line One:: METHOD AND APPARATUS FOR MAINTAINING A U Title Line Two:: NIFORM NET ASSET VALUE PER SHARE OR UNIT

Total Drawing Sheets:: 5
Formal Drawings?:: Yes
Application Type:: Utility
Docket Number:: 04110/00202

Secrecy Order in Parent Appl. ?:: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 26116

Source:: PrintEFS Version 1.0.1